

As required by the Towson University Policy on Procedures on Conflict of Interest for Faculty Interest in Sponsored Research or Development (03-01.11) please complete the following form where relevant, then sign and submit the completed form and any attachments via TU's File Delivery Service to jippolito@towson.edu. If you require additional assistance, please contact the OSPR via ospr@towson.edu or by calling 4-2236.

What type of disclosure is this? (Check one)

New Relationship (where no prior disclosure was submitted)

Request by Administration (where OSPR requested submission)

- A) Please disclose *financial interests* that you, your spouse, or any of your children hold in a company when that company has, or could have, a relationship with Towson University involving your research or product development activities. Please attach a complete list and descriptions of these financial interests. Please attach or indicate below the name and address below for any such company.

Company Name:

Company Address:

- B) Please describe the *relationship or anticipated relationship* between the company and Towson University. Types of relationships include: (a) a research agreement, (b) a collaboration agreement, (c) a license agreement, or (d) any other type of agreement between the company and Towson University. If the company provided, or anticipates providing, a gift to Towson University that supports your research or product development activities, then a relationship is established and should be described. Please *attach* a complete list and descriptions of these relationships or anticipated relationships.
- C) Please *attach* a complete list and descriptions of any *ownership interests* that you or a family member hold in the company, including stock, options, or debt. Please *attach* a complete list and descriptions of management positions or participation in the day-to-day operations that are closely related to your academic or other university responsibilities. Stock held in the company through your ownership of mutual funds should not be included. Please *attach* a complete list and descriptions of these ownership interests, including an estimated value of these ownership interests.
- D) Please *attach* a complete list and descriptions of any *consulting or advisory role* that you provided to the company in the past year and any consulting in which you are currently engaged with the company. Please *attach* a complete list and descriptions of these consulting or advisory roles, including the estimate of the total time spent in these roles and an estimated total of fees of compensation.
- E) Please *attach* a complete list and descriptions of all your *research or product development projects* that have taken place over the past year and involved the company, including corporate funded research and collaborations. Please include the research project title, Stratus project number, funding amount, and dates of award.
- F) Please *attach* a complete list and descriptions of all *current or potential individuals where you will directly supervise* or use the authority of your position in terms of hiring, salary, promotion, retention, or tenure or other employment benefits. Please indicate the name(s) of the individual, and indicate whether he/she is staff, student, or a post-doctoral associate.

- G) Please *attach* a complete list and descriptions of all *licensing or other commercialization agreements* that Towson University has with the company for which you are entitled to receive a share of licensing revenues. Please indicate a description of the agreement(s), total licensing revenue, and time period of agreement.
- H) Please *attach* a complete list and descriptions of all *gifts, honoraria, or other items of monetary value* exceeding \$1,000 in value that you, a family member (to the extent known by you), or your laboratory received directly or indirectly from the company during the past year. Exclude items listed above. Please indicate a description of the gift(s), and amount of value of gift.
- I) Please *attach* a complete list and descriptions of all *federal funding for your research or product development activities* when either the company or your financial interests could be perceived to be affected by such activities. If you had managerial or principal investigator role in a sponsored research activity outside the university, describe below each entry. Please include any submitted proposals or proposals for which you anticipate submission in the next year. Please indicate research project title, Stratus project number, funding amount, and dates of award.

NOTE: if your role in the sponsored research involves an activity that is "closely associated with inherently governmental functions" (as described in FAR 79 FR 18503 - Section 3.11) such as budget preparation, planning activities, studies and analyses to support agency policies, development of regulations, evaluation services, alternative dispute resolution, FOIA response preparation, agency training, access to confidential or sensitive information, or providing legal advice, please provide details below.

- J) Please *attach* a complete list and descriptions of *any potential conflicts of interest or conflicts of commitment* with your Towson University faculty or staff appointment that has not been disclosed in this reporting form?

"By typing my name, email address and date, the Investigator agrees to abide by the Towson University Policy on Procedures on Conflict of Interest for Faculty Interest in Sponsored Research or Development (03-01.11) and certifies that the information provided is true to the best of my knowledge. I understand and agree that if there is a change (such as an acquisition of a significant financial interest) to this information, I must submit a new disclosure within 30 days of that change."

Name:

Title:

Academic Department:

Signature:

Date:

Work (TU) Email:

Work (TU) Phone Number: